

YOUR ONE STOP SOLUTION FOR
***ENTERPRISE-WIDE
IT RISK MANAGEMENT***

Business Continuity
Vendor Management
IT Risk Assessment
Solutions

Backed By
Experienced Professional Services



MM&T
INCORPORATED

Serving The Financial Industry

Your One Stop Solution For Enterprise-Wide Risk Management

Compliance Assistant—Plus Dashboard

CA-Plus

Your Financial Institution

Home Page X



CA-Plus Control Center | The One Stop Risk Management Solution | Administrator

Control Centers

Business Continuity	Vendor Management	Risk Management	FFIEC Center	Database Assistant
---------------------	-------------------	-----------------	--------------	--------------------

References

FFIEC BCP	GLBA	FFIEC Information Security	FFIEC IT Booklets	CA-Plus Reference
FFIEC BIA	SSAE-16 - Soc	NIST	FFIEC IT Workprograms	
	Red Flag	FDICIA	FFIEC Glossary	

MM&T, INCorporated | Compliance Assistant Plus (CA-Plus) | Copyright 1997-2019 | Windows 7 / 8 / 10 Compatible
v20.1.0 | r.1 | 07-29-2019 | d.1

Total CA-Plus
Solutions Integration

Your One Stop Solution For Enterprise-Wide Risk Management


Business Continuity / Disaster Recovery Management

BCMS

Business Continuity Management Solution

Your Financial Institution

CA-Plus | Administrator



Reports

FFIEC BCP Center

FFIEC BIA Center

FFIEC Handbook Center

To to clear a pulldown box use the Delete key

PRIMARY CATALOGS	THREAT ASSESSMENT	BUSINESS IMPACT ANALYSIS	RECOVERY SITES/TASKS	PLAN
<div>Pulldowns</div> <div>List Facilities</div> <div>List By Dept HR</div> <div>List By Dept Vendors</div> <div>List Common Use Items</div> <div>List Technologies</div>	<div>List Neighbors</div> <div>List Threats</div>	<div>All BIA Forms</div> <div>BIA Utilities</div>	<div>Pulldown Calaogs</div> <div>Site Configuration</div> <div>List Recovery Tasks</div>	<div>BCP Management Plan</div> <div>List Chain Of Command</div> <div>List Recall Roster</div>
DASHBOARDS	BCP DISTRIBUTION	REFERENCES	MAINTENANCE	UTILITIES
<div>Report Center</div> <div>Vendor Management</div> <div>Risk Management</div>	<div>Create BCP-Media</div> <div>Open BCP-Media</div> <div>Copy To Media</div>	<div>Supporting Documentation</div> <div>Websites / Links</div> <div>Reference Center</div> <div>FFIEC Center</div>	<div>Catalog Scrubbing</div>	<div>Company Name</div> <div>Database Assistant</div> <div>Windows Explorer</div>

MM&T, INCorporated

Compliance Assistant Plus (CA-Plus) | Copyright 1997-2020 | Windows 10 Compatible


Covers All Plan Elements
From
Inception To Recovery

Your One Stop Solution For Enterprise-Wide Risk Management

Vendor Management

VMS

Vendor Management Solution
Your Financial Institution
CA-Plus | Administrator



Reports

Vendor Management

GLBA Center

SSAE-16 - 18 SOC Center

Red Flag Center

MAINTENANCE			VENDOR ASSESSMENTS			SUPPLEMENTAL ASSESSMENTS			MONITORING		
List	By Dept	Catalog	List	By Dept	All Vendors	List	GLBA Review		List	By Dept	Contract Tracking
List	By Dept	Checklist	High Residual Risk Vendors			List	SSAE 18- SOC Review		Alerts		
						List	Red Flag Review				

DASHBOARDS	CATALOGS	DISTRIBUTION	REFERENCES	UTILITIES
Preview / Print	Pulldowns	Create VMA-Media	Websites And Links	Company Name
Business Continuity	List Facilities	Open VMA-Media	Supporting Documentation	Database Assistant
Risk Management	Human Resources	Copy To Media	Reference Center	Windows Explorer
	Catalog Scrubbing		FFIEC Center	Archive

MM&T, INC. *Incorporated*
Compliance Assistant Plus (CA-Plus) | Copyright 1997-2020 | Windows 10 Compatible

Controls All Aspects Of
Vendor Risks

Your One Stop Solution For Enterprise-Wide Risk Management


Risk Management

RMS

Risk Management Solution

Your Financial Institution

CA-Plus | Administrator



Reports

FFIEC Information Security Center

NIST Center

Technologies

Risk-Threats

Cybersecurity

MITIGATING CONTROLS	TECHNOLOGIES	RISKS-THREATS	CYBERSECURITY
<div>List</div> <div>Controls High Risk</div>	<div>List</div> <div>Assessment High Risk</div>	<div>List</div> <div>Assessment High Risk</div>	<div>List</div> <div>Inherent Risk Level</div>
<div>List</div> <div>Control Descriptions</div>	<div>List</div> <div>Monitoring / Associations</div>	<div>List</div> <div>Monitoring / Associations</div>	<div>List</div> <div>Set Desired Maturity Level</div>
<div>List</div> <div>GLBA</div>	<div>List</div> <div>Initial Checklist</div>	<div>List</div> <div>Risk-Threat Descriptions</div>	<div>List</div> <div>FFIEC Cross Reference Tool</div>
<div>List</div> <div>NIST Cybersecurity Mapping</div>	<div>List</div> <div>Technologies Descriptiions</div>	<div>List</div> <div>Neighbors</div>	<div>List</div> <div>Maturity Risk Level</div>
		<div>List</div> <div>Technical/Natural/Malicious</div>	
DASHBOARDS	CATALOGS	REFERENCES	UTILITIES
<div>Preview / Print</div>	<div>Pulldowns</div>	<div>Supporting Documentation</div>	<div>Company Name</div>
<div>Business Continuity</div>	<div>List</div> <div>Facilities</div>	<div>Websites And Links</div>	<div>Alerts</div>
<div>Vendor Management</div>	<div>Human Resources</div>	<div>Reference Center</div>	<div>Action Items</div>
<div>FDICIA</div>	<div>List</div> <div>Vendors</div>	<div>FFIEC Center</div>	<div>Database Assistant</div>
	<div>Catalog Scrubbing</div>		<div>Windows Explorer</div>

MM&T, INCorporated

Compliance Assistant Plus (CA-Plus) | Copyright 1997-2020 | Windows 10 Compatible

Connecting
Technologies,
Risk and Threats
To Their Appropriate
Mitigating Controls
And Vendors

Business Continuity

- Financial Industry Specific (*Everything you need for Financial Institutions*)
- Disaster Recovery Association Compliant
- Built on a fully integrated Microsoft Office Platform (*The tools you already know and use*)
- Enterprise Wide Plan (*Not Just IT*)
- Logically assisted design from “**Our Client’s**” input
- Catalog and BIA (*Business Impact Analysis*) Driven
- Assigns BIA Development to the Resources Responsible for each departments recovery
- Automatically generates your Recovery Site, Team, Call Tree and other critical information directly from your BIA’s and Catalogs
- Administrator, BIA, Human Resources and Web-Based Documentation Distribution Modules (*A tool for each responsibility*)
- Easy Maintenance and Updating
- Full Reporting (*User interface for customized reporting*)

Vendor Management

- Addresses FFIEC , GLBA, Red Flag and SSAE 16 –SOC requirements
- Assessment Assistant (*With user guidelines*)
- Maintenance Tools (*Keeping your vendor information current*)
- Automated Client Defined Documentation (*Multiple choice vendor information requests*)
- Integrated Vendor Catalog (*Used in all CAPlus Modules*)
- Full Reporting (*management, departmental, rating, alerts and full contract tracking*)
- Build in GLBA and required documentation Checklist (*Keep track of all your regulatory and internal requirements*)
- Automatic Alerts (*Don’t miss a required assessment, action item or contract deadline*)
-

Risk Management

- Financial Industry Specific (*Everything you need for Financial Institutions*)
- Assesses Mitigating Controls, Technologies and Products/Services Risks
- Built on a fully integrated Microsoft Office Platform
- Build to address Enterprise Wide Risk Assessments
- Built in Risk templates for IT, GLBA, BSA, SOX, AML Risk and more
- Assists in assigning Risk Ratings with areas to describe how rating was determined
- Full Maintenance Center to insure all risks are evaluated, up-to-date and remain viable
- Automatic Alerts to insure assessments are done when required
- Automatically associates Mitigating Controls to each Technology and Product/Service
- Prepares action plans
- Total integration with all CAPlus products

Professional Services

- BCP Development Consulting
- BCP Testing Assistance
- BCP Training
- BCP / Risk Seminars
- Vendor Management Assessments
- Enterprise-Wide Risk Assessments
- Business Change Management Consulting
- Enterprise-Wide Procedure Development

Contact Us:

- 203-598-0883
- PO Box 1322
Middlebury, CT 06762
- www.MMTOnline.com
 - ➔ Arrange a Web-based demo
 - ➔ Get the latest versions of CAPlus Products
 - ➔ Learn about our Consulting Services
 - ➔ Download our corporate profile

MM&T Works As A Partner
With Our Clients To Solve
Business & Regulatory
Challenges
We’re Not Just Software

• Database Development

Business Partners



MM&T
INCORPORATED